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NOTICE OF ALLOWANCE AND FEE(S) DUE

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05/13/2008

NOVAK DRUCE DELUCA + QUIGG LLP 1300 EYE STREET NW SUITE 1000 WEST TOWER WASHINGTON, DC 20005

EXAMINER				
ROBINSON, HOPE A				
ART UNIT	PAPER NUMBER			
1652				

DATE MAILED: 05/13/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/537,710	03/30/2000	Anders Dahlqvist	3377/99-UTIL	9098

TITLE OF INVENTION: NEW CLASS OF ENZYMES IN THE BIOSYNTHETIC PATHWAY FOR THE PRODUCTION OF TRIACYLGLYCEROL

AND RECOMBINANT DNA MOLECULES ENCODING THESE ENZYMES

1	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	NO	\$1440	\$0	\$0	\$1440	08/13/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 26474 05/13/2008 Certificate of Mailing or Transmission NOVAK DRUCE DELUCA + QUIGG LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1300 EYE STREET NW SUITE 1000 WEST TOWER WASHINGTON, DC 20005 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 09/537,710 03/30/2000 Anders Dahlqvist 3377/99-UTIL TITLE OF INVENTION: NEW CLASS OF ENZYMES IN THE BIOSYNTHETIC PATHWAY FOR THE PRODUCTION OF TRIACYLGLYCEROL AND RECOMBINANT DNA MOLECULES ENCODING THESE ENZYMES APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$0 \$0 \$1440 08/13/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS ROBINSON, HOPE A 1652 435-134000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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1300 EYE STREET NW			ART UNIT	PAPER NUMBER
SUITE 1000 WEST TOWER		1652		
WASHINGTON, DC 20005		DATE MAIL ED. 05/12/2000		

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
Notice of Allowability	09/537,710 Examiner	DAHLQVIST ET AL. Art Unit
, , , , , , , , , , , , , , , , , , ,	LXammer	Artonic
	HOPE A. ROBINSON	1652
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT ROOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in thi or other appropriate communic IGHTS. This application is subj	s application. If not included ation will be mailed in due course. THIS
1. \square This communication is responsive to $4/10/08$.		
2. ☑ The allowed claim(s) is/are <u>30-32 and 38-42</u> .		
 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 	e been received.	
3. Copies of the certified copies of the priority do	cuments have been received in	this national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	MENT of this application.	
INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") must	st be submitted.	
(a) \square including changes required by the Notice of Draftspers	son's Patent Drawing Review(F	PTO-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
 DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT 		
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5 Notice of Inform	nal Patent Application
 Induce of References Cited (PTO-092) Induce of Draftperson's Patent Drawing Review (PTO-948) 	6. ☐ Interview Sumr	
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No./Mai 7. ⊠ Examiner's Am	l Date
Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit		tement of Reasons for Allowance
of Biological Material	9.	
	/Hope A. Robinson/ Primary Examiner, Art	Unit 1652

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EXAMINER'S AMENDMENT

1. An Examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

2. Authorization of this Examiner's amendment was given in a telephone interview with Mr.

Jason Bryant on April 24, 2008.

Priority

3. As previously noted, the instant application is not granted the benefit of priority for the

foreign application 99106656.4 filed in Europe on April 1, 1999 because an official ribboned

copy was not received. The communication mailed on December 1, 2005 had a typographical

error.

4. The Claims have been amended as follows:

Claim 31 (Currently Amended) A method of producing triacylglycerol or

triacylglycerols with uncommon fatty acids comprising:

transforming a plant cell or a yeast cell, a fungi, or a plant which produces

uncommon fatty acids with

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(i) the nucleotide sequence of SEQ ID NO: 1 from Saccharomyces cerevisiae, or

(ii) the nucleotide sequence that is 95% identical to said SEQ ID NO: 1, wherein

the nucleotide sequence of (i) and (ii) encode SEQ ID NO: 2 whereby [the] transformation

results in the production of an enzyme (SEQ ID NO: 2) that catalyzes in an acyl-CoA-

independent reaction the transfer of fatty acids from phospholipids to diacylglycerol in

the biosynthetic pathway for the production of triacylglycerol or triacylglycerols

with uncommon fatty acids.

Claim 32 (Currently Amended) A method of producing triacylglycerol or

triacylglycerols for increasing the oil content of an organism or cell comprising:

transfecting a plant cell or a yeast cell, a fungi, or a plant with

(i) the nucleotide of sequence of SEQ ID NO: 1 from [S. cerevisiae] Saccharomyces cerevisiae, or

(ii) the nucleotide sequence 95% identical to said SEQ ID NO:I,

wherein the nucleotide sequence of (i) and (ii) encodes SEQ ID NO: 2 whereby [the

transformation] transfection results in the production of an enzyme (SEQ ID NO: 2) that catalyzes in

an acyl-CoA-independent reaction the transfer of fatty acids from phospholipids to

diacylglycerol in the biosynthetic pathway for the production of triacylglycerol or

triacylglycerols thereby increasing the oil content of the plant cell or the

yeast cell. the fungi, or the plant.

REASONS FOR ALLOWANCE

5. Applicants have claimed a process for the production of triacylglycerol as set forth in for example claim 30. A search of commercial databases produced references such as Lassner et al.

(which is not deemed to be prior art based on the date) and Verhasselt et al. (which teaches the open reading frame and not the claimed method). In addition, U.S. Patent No. 6,791,008 was found, however, is a junior party to the instant case and published SEQ ID NO:1 (1833 nucleotides) that encodes SEQ ID NO:2 (610 amino acids), whereas the instant case discloses SEQ ID NO:1 with 1986 nucleotides encoding SEQ ID NO:2 with 661 amino acids. Thus, the claimed invention is novel and not obvious over the prior art.

6. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance".

Conclusion

7. Claims 30-32 and 38-42 are allowable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hope A. Robinson whose telephone number is 571-272-0957. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nashaat Nashed, can be reached at (571) 272-0934. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Hope A. Robinson/

Primary Examiner, Art Unit 1652

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